

Corporate Risk Management Annual Report 2018/19

Report of the Chief Fire Officer

For further information about this report please contact Rod Hammerton, Chief Fire Officer, on 01743 260204, or Ged Edwards, Planning, Performance and Communications Manager, on 01743 260208.

1 Purpose of Report

This report informs Members about the corporate risk management work that has been undertaken during the previous twelve months.

2 Recommendations

The Fire Authority is asked to note this report.

3 Background

Ongoing monitoring of the Service's corporate risk management work is a responsibility of the Service Management Team (SMT) and Risk Management Group (RMG). In turn the Audit and Performance Management Committee (APMC) receive regular corporate risk management reports.

However, risk management best practice guidance also indicates that the full Fire Authority should receive a summary report at least annually.

4 Local Government Act 1972, Schedule 12A Reporting Exemptions

There is an exempt risk on the Corporate Risk Register. Details of this risk are provided in an exempt paper and will be covered in the closed session of the meeting.

5 Risk Management Progress

This section includes information about all events that have led to the current status with the Authority's corporate risk management system.

June 2018

The Fire Authority received the Corporate Risk Management Annual Report 2017/18.

July 2018

APMC agreed the Annual Governance Statement (AGS) and Improvement Plan for inclusion in the 2017/18 Statement of Accounts. The committee also received a corporate risk summary report.

The Business Continuity Support Group was established to oversee the implementation of the replacement Payroll, Human Resources, Training and Finance Systems.

September 2018

APMC received an update on progress against the AGS Improvement Plan, RMG Internal Audit progress monitoring report and a corporate risk summary report.

The Service migrated over to the new Finance System provider.

October 2018

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

November 2018

A new risk was added to the Corporate Risk Register regarding the potential impact on staffing levels as a result of adopting new fitness standards.

The risk assessment relating to the Integrated Communications Control interface (ICCS) was downgraded following the award of contract to Motorola for the system replacement.

Internal Audit carried out its annual review of the Service's risk management and business continuity processes.

December 2018

APMC received updates on the AGS Improvement Plan and a corporate risk summary report.

Internal Audit's assessment of the Service's risk management and business continuity process are classified 'Good'.

Fire Authority received a corporate risk summary report.

February 2019

The risk relating to the working time directive opt out was downgraded following legal advice to the Local Government Association (LGA).

The business continuity support group was stood down following changeover of system provider for Payroll, Human Resources, Training and Finance systems.

March 2019

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

The risk relating to business continuity arrangements was removed from the corporate risk register.

The risk assessment relating to loss of service following the changeover of system provider for Payroll, Human Resources, Training and Finance systems was downgraded.

April 2019

APMC received an update on progress against the AGS Improvement Plan and a corporate risk summary report.

Over the last twelve months the Corporate Risk Register has been reviewed by SMT at each of its monthly meetings.

6 Overall Summary

There are currently twelve open risks on the Corporate Risk Register, which includes one new risk added during this reporting year.

7 Financial Implications

There are no direct financial implications associated with this report.

8 Legal Comment

There are no legal implications associated with this report.

9 Risk Monitoring

When considering this work stream officers have reviewed Brigade Order 3 Part 2 Risk Management Protocol and have determined that there are no direct risk implications, as this is purely a risk management summary update.

10 Initial Impact Assessment

This report contains merely statements of fact / historical data. An Initial Impact Assessment is not, therefore, required.

11 Equality Impact Assessment

This report contains merely statements of fact / historical data. An Equality Impact Assessment is not, therefore, required.

12 Appendices

Appendix A

Summary Corporate Risk Register

Appendix B

Paper 18 Exempt Section of Corporate Risk Register

13 Background Papers

There are no background papers associated with this report.

Corporate Risk Register

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Ged Edwards	01/4/2012	The provision of accurate management information is dependent upon the adoption of high quality data management principles.	2	2	4	→	Ged Edwards
Service Delivery	Internal Audit	21/12/2011	The Service has various contracts with suppliers which if were to fail or be impacted by poor performance could have a detrimental impact on the delivery of key service streams/functions.	3	3	9	→	Andrew Kelcey
Information	Sally Edwards	01/1/2012	To ensure the maximum availability of the Command & Control system as a mission critical function of the Service.	2	3	6	→	Sally Edwards
Information	Sally Edwards	01/8/2012	Provide robust and resilient hardware and communications platforms to ensure availability of electronic systems to support service delivery.	2	3	6	→	Sally Edwards
People	Risk Management Group	17/3/2009	If the "opt-out" option, the UK currently holds from the European Working Time Directive is removed, then this could have an impact on the availability of RDS staff.	1	1	1	↓	Germaine Worker

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Rod Hammerton	30/9/2015	There is a risk that the Emergency Services Mobile Communications Project (ESMCP) will not deliver in time for when the Airwave contract expires. There is also a risk that the level of coverage across Shropshire will not be available as it is based upon 4G which is currently not available in Shropshire.	2	2	4	→	Sally Edwards
Policy	Fire Authority Members	17/3/2016	The uncertainty surrounding changes in legislation from the Policing and Crime Bill 2016 (Duty to collaborate and potential for a change in governance) could impact upon services ability to effectively undertake long term planning and the delivery of strategy.	3	3	9	→	Rod Hammerton
Finance	Joanne Coadey	23/9/2015	Reduction in government funding - insufficient income to cover Service revenue budget'	3	2	6	→	Joanne Coadey
Information	Ged Edwards	24/8/2017	The tight timescales for migrating across to a new provider for Payroll, HR and Finance systems may result in a short term degradation in system functionality.	1	2	2	↓	Ged Edwards

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Chris Mellings	19/7/2017	ICT is crucial for public service delivery and this imposes a risk of disruption or damage to the reputation of SFRS in the event of a failure of its information technology systems as a result of a cyber-attack.	2	3	6	→	Sally Edwards
Service Delivery	Ged Edwards	17/11/2017	With little notice period the system supplier has informed the Service that the current Integrated Communications Control System (ICCS) will no longer be maintained beyond April, next year, as it becomes obsolete. In the event of a system failure after this date, the Service may be unable to restore the ICCS system.	2	1	2	↓	Sally Edwards
Service Delivery	Kev Faulkner	13/11/2018	Staffing could be adversely affected following the adoption of the new fitness standards.	2	3	6	→	Kev Faulkner

Key to Direction of Travel:

- shows that the general direction of the risk is unchanged
- ↓ shows that the general direction of the risk is reducing
- ↑ shows that the general direction of the risk is increasing