

Corporate Risk Management Annual Report 2021/22

Report of the Chief Fire Officer

For further information about this report please contact Rod Hammerton, Chief Fire Officer, on 01743 260204, or Ged Edwards, Planning and Performance Manager, on 01743 260208.

1 Purpose of Report

This report informs Members of the corporate risk management work that has been undertaken during the previous twelve months.

2 Recommendations

The Fire Authority is asked to note this report.

3 Background

Ongoing monitoring of the Service's corporate risk management work is a responsibility of the Performance and Risk Group (PRG) and Risk Management Group (RMG). In turn the Standards, Audit and Performance Committee (SAP) receive regular corporate risk management reports.

However, risk management best practice guidance also indicates that the full Fire Authority should receive a summary report at least annually.

4 Local Government Act 1972, Schedule 12A Reporting Exemptions

There is an exempt risk on the Corporate Risk Register. Details of this risk are provided in an exempt paper and will be covered in the closed session of the meeting.

5 Risk Management Progress

This section includes information about all events that have led to the current status with the Authority's corporate risk management system.

June 2021

The Fire Authority received the Corporate Risk Management Annual Report 2020/21.

July 2021

SAP received updates on the Annual Governance Statement (AGS) Improvement Plan, RMG Internal Audit progress monitoring and the corporate risk summary report.

A new risk relating to a new Firefighting PPE maintenance standard was added to the corporate risk register.

September 2021

SAP agreed the AGS and Improvement Plan for inclusion in the 2020/21 Statement of Accounts. The committee also received a corporate risk summary report.

October 2021

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

November 2021

A new risk relating to wholetime duty pilot scheme was added to the corporate risk register

December 2021

SAP received updates on the AGS Improvement Plan, RMG Internal Audit progress monitoring report and a corporate risk summary report.

January 2022

Members received risk management training from Zurich Insurance.

February 2022

Internal Audit carried out its annual review of the Service's risk management and business continuity processes.

The risk relating to a delay in implementing the new fitness standards was removed from the corporate risk register.

March 2022

Internal Audit's assessment of the Service's risk management and business continuity process are classified 'Good'.

April 2022

SAP received an update on progress against the AGS Improvement Plan, RMG Internal Audit progress monitoring report and a corporate risk summary report.

The risk relating to the delay replacing the Integrated Communications Control System (ICCS) was removed from the corporate risk register.

The risk relating to Service disruptions due to Covid was removed from the corporate risk register.

May 2022

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

6 Overall Summary

There are currently eleven open risks on the Corporate Risk Register, which includes two new risks added during this reporting year.

7 Financial Implications

There are no direct financial implications associated with this report.

8 Legal Comment

There are no legal implications associated with this report.

9 Risk Monitoring

When considering this work stream officers have reviewed Brigade Order 3 Part 2 Risk Management Protocol and have determined that there are no direct risk implications, as this is purely a risk management summary update.

10 Initial Impact Assessment

This report contains merely statements of fact / historical data. An Initial Impact Assessment is not, therefore, required.

11 Equality Impact Assessment

This report contains merely statements of fact / historical data. An Equality Impact Assessment is not, therefore, required.

12 Appendices

Appendix A

Summary Corporate Risk Register

Appendix B

Paper 21 Exempt Section of Corporate Risk Register

13 Background Papers

There are no background papers associated with this report.

Corporate Risk Register

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Ged Edwards	01/4/2012	The provision of accurate management information is dependent upon the adoption of high-quality data management principles.	2	2	4	→	Sally Edwards
Service Delivery	Internal Audit	21/12/2011	The Service has various contracts with suppliers which if were to fail or be impacted by poor performance could have a detrimental impact on the delivery of key service streams/functions. The Service also has a need for the timely delivery of goods to ensure the safety, legal compliance and availability of assets used to provide services to the community. These supply chains and costs are being disrupted by events outside the Service's control	3	2	6	→	Andrew Kelcey
Information	Sally Edwards	01/1/2012	To ensure the maximum availability of the Command & Control system as a mission critical function of the Service.	1	3	3	→	Sally Edwards
Information	Sally Edwards	01/8/2012	Provide robust and resilient hardware and communications platforms to ensure availability of electronic systems to support service delivery.	1	2	2	→	Sally Edwards

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Policy	Fire Authority Members	17/3/2016	The uncertainty surrounding changes in legislation from the Policing and Crime Bill 2016 (Duty to collaborate and potential for a change in governance) could impact upon services ability to effectively undertake long term planning and the delivery of strategy.	2	1	2	↓	Rod Hammerton
Policy	Brian Welti	17/06/2021	The introduction of a new maintenance standard for fire kit maintenance, which includes a requirement to replace kit at 10 years, regardless of condition. Means the Service may not be able to justify use of kit beyond this time period without a full supporting risk assessment.	2	2	4	→	Dan Quinn
Finance	Joanne Coadey	23/9/2015	Reduction in government funding - insufficient income to cover Service revenue budget	3	1	3	→	Joanne Coadey
Information	Fire Authority Members	19/7/2017	ICT is crucial for public service delivery, and this imposes a risk of disruption or damage to the reputation of SFRS in the event of a failure of its information technology systems as a result of a cyber-attack.	2	3	6	→	Sally Edwards
Service Delivery	Area Command	13/11/2018	Staffing could be adversely affected following the adoption of the new fitness standards.	2	3	6	→	Guy Williams

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Service Delivery	Guy Williams	08/11/2021	Wholetime Duty Pilot Scheme 2022 The local FBU are proactively supporting the changes that the pilot scheme will bring for 2022. However, the Service is aware that eventually the agreement will need a national FBU sign off which may present different challenges.	2	2	4	→	Guy Williams
People	Andrew Kelcey	26/02/2020	The risk that we are entering into new activities without fully assessing and meeting the PPE requirements. It may be that PPE used is not appropriate for the specific risk faced.	1	1	1	↓	Dan Quinn

Key to Direction of Travel:

- shows that the general direction of the risk is unchanged
- ↓ shows that the general direction of the risk is reducing
- ↑ shows that the general direction of the risk is increasing NEW