

# Corporate Risk Management Annual Report 2019/20

## Report of the Chief Fire Officer

For further information about this report please contact Rod Hammerton, Chief Fire Officer, on 01743 260204, or Ged Edwards, Planning, Performance and Communications Manager, on 01743 260208.

### 1 Purpose of Report

This report informs Members about the corporate risk management work that has been undertaken during the previous twelve months.

### 2 Recommendations

The Fire Authority is asked to note this report.

### 3 Background

Ongoing monitoring of the Service's corporate risk management work is a responsibility of the Service Management Team (SMT) and Risk Management Group (RMG). In turn the Standards, Audit and Performance Committee (SAP) receive regular corporate risk management reports.

However, risk management best practice guidance also indicates that the full Fire Authority should receive a summary report at least annually.

### 4 Local Government Act 1972, Schedule 12A Reporting Exemptions

There is an exempt risk on the Corporate Risk Register. Details of this risk are provided in an exempt paper and will be covered in the closed session of the meeting.

### 5 Risk Management Progress

This section includes information about all events that have led to the current status with the Authority's corporate risk management system.

### **June 2019**

The Fire Authority received the Corporate Risk Management Annual Report 2018/19.

### **July 2019**

SAP agreed the Annual Governance Statement (AGS) and Improvement Plan for inclusion in the 2018/19 Statement of Accounts. The committee also received RMG Internal Audit progress monitoring report and a corporate risk summary report.

The risk rating for the Service's ability to undertake long term planning in light of potential changes in governance arrangements was reduced. This was as a result of the structured programme of work that was established through the fire alliance.

### **September 2019**

SAP received an update on progress against the AGS Improvement Plan and a corporate risk summary report.

The risks relating to the Command and Control System and the ICT infrastructure were downgraded following a reduction in the number of reported faults over the last 12 months.

### **October 2019**

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

In light of the extension to the Airwave contract, the Emergency Services Mobile Communication Project Risk (ESMCP) was removed from the corporate risk register.

The risk relating to the transition over to Telford & Wrekin Council for the provision of Payroll, Human Resources, Training and Finance systems was removed from the corporate risk register.

The risk rating for the provision of performance information was increased due to capacity issues within the Business Intelligence Team.

### **December 2019**

Internal Audit carried out its annual review of the Service's risk management and business continuity processes.

SAP received updates on the AGS Improvement Plan and a corporate risk summary report.

Fire Authority received a corporate risk summary report.

### **January 2020**

Internal Audit's assessment of the Service's risk management and business continuity process are classified 'Good'.

## **April 2020**

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

The risk relating to the European Working Time Directive “opt out” option was removed from the corporate risk register.

The risk rating for the failure of primary contractor/supplier was reduced due to the contracts register now including individual risk assessments and outline contingency plans for all contracts.

Service disruptions due to Covid-19 and Personal Protective Equipment (PPE) for emerging activities were added to the corporate risk register.

SAP received an update on progress against the AGS Improvement Plan, a corporate risk summary report and RMG Internal Audit progress monitoring report.

Over the last twelve months the Corporate Risk Register has been reviewed by SMT at each of its monthly meetings.

## **6 Overall Summary**

There are currently eleven open risks on the Corporate Risk Register, which includes two new risks added during this reporting year.

## **7 Financial Implications**

There are no direct financial implications associated with this report.

## **8 Legal Comment**

There are no legal implications associated with this report.

## **9 Risk Monitoring**

When considering this work stream officers have reviewed Brigade Order 3 Part 2 Risk Management Protocol and have determined that there are no direct risk implications, as this is purely a risk management summary update.

## **10 Initial Impact Assessment**

This report contains merely statements of fact / historical data. An Initial Impact Assessment is not, therefore, required.

## **11 Equality Impact Assessment**

This report contains merely statements of fact / historical data. An Equality Impact Assessment is not, therefore, required.

## **12 Appendices**

### **Appendix A**

Summary Corporate Risk Register

### **Appendix B**

Paper 20 Exempt Section of Corporate Risk Register

## **13 Background Papers**

There are no background papers associated with this report.

## Corporate Risk Register

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Ged Edwards	01/4/2012	The provision of accurate management information is dependent upon the adoption of high quality data management principles.	3	2	6	→	Sally Edwards
Service Delivery	Internal Audit	21/12/2011	The Service has various contracts with suppliers which if were to fail or be impacted by poor performance could have a detrimental impact on the delivery of key service streams/functions.	3	2	6	→	Andrew Kelcey
Information	Sally Edwards	01/1/2012	To ensure the maximum availability of the Command & Control system as a mission critical function of the Service.	1	3	3	→	Sally Edwards
Information	Sally Edwards	01/8/2012	Provide robust and resilient hardware and communications platforms to ensure availability of electronic systems to support service delivery.	1	2	2	→	Sally Edwards
Policy	Fire Authority Members	17/3/2016	The uncertainty surrounding changes in legislation from the Policing and Crime Bill 2016 (Duty to collaborate and potential for a change in governance) could impact upon services ability to effectively undertake long term planning and the delivery of strategy.	3	2	6	→	Rod Hammerton
Finance	Joanne Coadey	23/9/2015	Reduction in government funding - insufficient income to cover Service revenue budget	3	2	6	→	Joanne Coadey
Information	Chris Mellings	19/7/2017	ICT is crucial for public service delivery and this imposes a risk of disruption or damage	2	3	6	→	Sally Edwards

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
			to the reputation of SFRS in the event of a failure of its information technology systems as a result of a cyber attack.					
Service Delivery	Ged Edwards	17/11/2017	With little notice period the system supplier has informed the Service that the current Integrated Communications Control System (ICCS) will no longer be maintained beyond April, next year, as it becomes obsolete. In the event of a system failure after this date, the Service may be unable to restore the ICCS system.	2	1	2	→	Sally Edwards
Service Delivery	Kev Faulkner	13/11/2018	Staffing could be adversely affected following the adoption of the new fitness standards.	2	3	6	→	Kev Faulkner
Service Delivery	Ged Edwards	09/03/2020	In the event of an outbreak of Covid-19 in the Service there is the potential to severely disrupt all day to day Service functions.	3	2	6	→	Simon Hardiman
People	Andrew Kelcey	26/02/2020	The risk that we are entering in to new activities without fully assessing and meeting the PPE requirements. It may be that PPE used is not appropriate for the specific risk faced.	2	1	2	→	Andrew Kelcey

### Key to Direction of Travel:

- shows that the general direction of the risk is unchanged
- ↓ shows that the general direction of the risk is reducing
- ↑ shows that the general direction of the risk is increasing